

Geneva, 19 March 2004

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1. Potential Foundation Funders for Gurn Research (p.2)

Potential funding institutions for GURN research.

Compiled by Cardiff University's Global Political Economy Group, February 2004

This table was originally prepared for internal Global Political Economy Group purposes. However, the following foundations all provide funding for research in areas that may be of interest to GURN members (particularly those in the South). Please note that even those research funders that stipulate funding for UK institutions only are usually open to consortia of UK institutions and foreign applicants.

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
Westminster Foundation for Democracy C£4 million Focus: International	http://www.wfd.org	<p>“Funds organisations and projects around the world aimed at building and strengthening pluralist democracies.</p> <p>It receives a grant-in-aid from the UK Government and works closely with all the political parties in the Westminster Parliament.</p> <p>Under the heading Trade Unions, it funds 4 categories of projects:</p> <ul style="list-style-type: none"> • <i>capacity building</i> • <i>developing membership</i> • <i>democracy education</i> • <i>role of trade unions in a democratic, free market economy</i> 	<p>Between <u>£5,000 and £10,000</u></p> <p>Note: it seems payment is made on a reimbursement basis, after project reports have been submitted.</p>	<p>WFD does not fund conferences (except those that have a strong training or practical element), educational scholarships, research, environmental, economic, cultural, health or social welfare</p>	<p>4 times a year, generally two months before Board meetings (roughly: January 29, April 30, July 2, October 15)</p>	<p>Complete an application form any time and submit - see guidelines and a “project summary sheet.”</p>

<i>Foundation</i>	<i>Web Address</i>	<i>Key Information</i>	<i>Average Grant</i>	<i>Eligibility</i>	<i>Deadlines</i>	<i>App. Process</i>
				projects.		

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
<p>Allan and Nesta Ferguson Charitable Settlement</p> <p><u>C£3.4 million</u></p> <p>Focus: International</p>	<p>Page 118 of the Directory of Social Change - no web site</p>	<p>New trust that awards large grants. Focuses on Peace, Education and Overseas Development. No categories of funding given. Past grants include:</p> <ul style="list-style-type: none"> • Chair of Global Ethics at Birmingham University • Open University (£100,000) • Jubilee 2000 Coalition (£100,000) • International Health Association (£10,000) • Fair Trade Foundation (£500) 	<p><u>Between £500 and £2.5 million</u></p>	<p>YES</p>	<p>No</p>	<p>In writing to the correspondent. Simple ones dealt with monthly or bi-monthly – more complicated ones at Trustees meetings which are held in January and July.</p>
<p>Rockefeller Brothers Fund</p> <p>Focus: USA and International</p>	<p>http://www.rbf.org</p>	<p>Interested in funding long term issues:</p> <p>Programmes:</p> <p>Sustainable Development Democratic Practice Peace and Development May be opportunities under the Democratic Programme the International sub-programme: <i>Enhancing Access and Promoting Inclusive Participation</i> –</p> <ul style="list-style-type: none"> • Advancing efforts to conceptualize and implement a vision for democratic transnational decision-making. • Encouraging reforms within specific transnational institutions. 	<p><u>Between \$25,000 and 300,000</u></p>	<p>YES</p>	<p>NO, rolling review of applications</p>	<p>YES, no more than 2-3 pages</p>

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
		<ul style="list-style-type: none"> Promoting open and candid exchange of information and perspectives among the growing number of actors who participate in the development and implementation of transnational policy. <p>Already Fund</p> <ul style="list-style-type: none"> Focus on the Global South (\$150,000 over 3 yrs) International Focus on Globalisation (\$150,000 over 2 yrs) <p>“Transparency and Inclusive Participation”</p>				

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
Ford Focus: International	http://www.fordfound.org	<p>Aims to:</p> <p>Strengthen democratic values Reduce poverty and injustice Promote international co-operation Advance human achievement</p> <p>Peace and Social Justice Programme</p> <p>Governance and Civil Society</p> <p><i>Governance</i> strengthen the responsiveness of state and local governments, improve the ability of national government institutions to secure peace and social justice outcomes and build democratic global governance in the arenas of international economics, conflict and security. Supports efforts to improve government performance, build public awareness of budget and tax issues and confront the challenges posed by the trend toward government decentralization</p> <p>The global dimensions of governance are addressed through grant making to improve the management of the international economy.</p> <p><i>Civil Society</i> we encourage citizen oversight of the public and private sectors. We believe in the value of associational life and see the nurturing of strong, independent and democratic civil societies as a goal in and of itself. Grants seek to increase</p>		YES	NONE	There are no deadlines. Applications may be submitted at any time.

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
		<p>participation in public affairs beyond the act of voting while strengthening civil society organisations and the philanthropy that supports their endeavors. A new portfolio strengthens global civil society and the ability of transnational citizens' coalitions to address social problems, as epitomized by the recently created World Social Forum and its motto 'another world is possible.'</p> <p><i>Funded Transparency International to develop the Electronic Source Book to collect and disseminate over the Internet information on anti-corruption initiatives and best practices (\$150,000)</i></p>				

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
Wallace Global Fund Focus: international	http://www.wgf.org	<p>Provided support to TUAC for the years 2001-2002 and 2002-2003 to run UNICORN, the trade union anti-corruption network (now based at GPE, Cardiff).</p> <p>Aims to catalyse and leverage critically needed global progress towards an equitable and environmentally sustainable society.</p> <p>Preference given to projects that involve:</p> <ul style="list-style-type: none"> • Policy research and action on a global or regional level; • Public/private sector partnerships; • Collaboration among non-governmental organizations; • Utilization of market mechanisms. 	<p><u>Average</u> \$50,000</p> <p><u>Range:</u> \$2000-400,000</p>	YES Preference to activities leading to broad policy changes. The Fund encourages collaborations involving multiple NGOs and those with an interdisciplinary approach.	NO, reviewed on continual basis (process takes between 1 and 3 months)	"Concept paper" of max. 3 pages prior to submitting full proposal. Full proposal should be less than 10 pages. Guidance on sections are provided.
Heinrich Böll Foundation	http://www.boell.de/a_sp/frameset_en.html	<p>The Heinrich Böll Foundation, affiliated with the Green Party and headquartered in the Hackesche Höfe in the heart of Berlin, is a legally independent political foundation working in the spirit of intellectual openness. It was founded in 1997 by uniting the three foundations Buntstift (Göttingen), Frauen-Anstiftung (Hamburg), and Heinrich-Böll-Stiftung (Cologne).</p> <p>Has a DEMOCRACY programme</p> <p>Contact Heinrich Böll Foundation Anne Ulrich, e-mail: ulrich@boell.de Michael Stognienko, e-mail:</p>				

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
		stognienko@boell.de				
National Endowment for Democracy <u>C\$15 million</u>	http://www.ned.org	<p>Multi-regional and Misc. grants as well as research.</p> <p>NED supports projects that promote political and economic freedom, a strong civil society, independent media, human rights, and the rule of law.”</p> <p>“Programs in the areas of labor, business, and political party development are funded through four core institutes including the American Center for International Labor Solidarity (ACILS), which has funded a number of multi-regional programmes -</p>	<p><u>ACILS projects range from \$100,000 – \$270,000</u></p> <p><u>Average is \$50,000</u></p>	YES	Decisions made on a quarterly basis by the NED Board of Directors	Application form and guidance on headings.
Charles Stewart Mott Foundation	http://www.mott.org	<p>“- Civil Society, Environment, Flint Area and Pathways Out of Poverty.</p> <p>Under Civil Society the programme “Promoting Rights, Responsibilities and Participation (formerly Citizens Rights and Responsibilities)” looks interesting.</p> <p>Reform of International Finance and Trade program area promotes a positive contribution by international finance and trade organizations to a more sustainable and equitable global economy. We support the efforts of non-governmental organizations to influence global policies and institutions in three</p>	Average: <u>\$100,000</u>			Letter of Inquiry and then short proposal

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
		primary ways: * Policy Reform and Implementation * Strengthening International Constituencies * Developing New Approaches				
Non-Profit Research Fund (Aspen Institute)	http://www.nonprofitresearch.org	Prioritises funding for research projects that address, <i>inter alia</i> , "Non-Profit Advocacy and Civic Participation"	Average: <u>\$23,100</u>		No, but application review process takes 6 months	Recommended, 2-3 page concept paper
Scaife Foundations Sarah Scaife Foundation \$15 million Carthage Foundation	http://www.scaife.com/sarah.html http://www.scaife.com/carthage.html	Very few details about this family of foundations, except that these two in particular focus on " <i>public policy questions concerned with national and international issues.</i> "	Sarah Scaife grants average between <u>50K and 100K</u> , whereas Carthage grants are smaller at about <u>20K</u> .	There are no geographical limitations, although almost all grants appear to have gone to US-based groups. Need to check this out. No other specific guidelines are given.	No mention made.	Letter of inquiry seems necessary. Next <i>Foundation Meeting</i> is February so worth prioritising.
Threshold Foundation	http://www.thresholdfoundation.org	The Threshold Foundation is one of the few foundations that mention corporate power specifically serves the social change movement through collaborating with and funding innovative American and		YES	YES	The annual grants cycle begins on September 26 with the submission of Letters of Inquiry (LOIs)

<i>Foundation</i>	<i>Web Address</i>	<i>Key Information</i>	<i>Average Grant</i>	<i>Eligibility</i>	<i>Deadlines</i>	<i>App. Process</i>
		<p>international non-profit organisations and individuals working towards social justice, environmental sustainability, humane economic systems and peaceful coexistence.</p> <p>Policy & Systemic Change Committee</p> <p>The Policy and Systemic Change Committee seeks to create a just, sustainable, democratic and peaceful world by supporting projects that work for systemic policy change by addressing the root causes of harmful and unjust conditions or by protecting and strengthening democratic institutions.</p> <p>Issue Preferences:</p> <ul style="list-style-type: none"> • Electoral participation and campaign finance reform • Media independence and diversity • Environmental justice and natural resources policy including genetic integrity and food security • Solutions to the negative effects of corporate domination and economic globalization • Civil and criminal justice; corrections and drug policy reform • Human and constitutional rights • Sustainable employment 				

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
		<p>Strategy Preferences:</p> <ul style="list-style-type: none"> • Building broad coalitions or networks including non-traditional allies (priority given to this item) • Identifying leverage points in the flow of money, power and influence • Ensuring access to government processes, information and decision making • Educating consumers to create market pressures for greater corporate responsibility • Advocating in corporate or public policy arenas • Promoting philanthropic support of systemic change projects • Creating new strategies for policy and systemic change 				
The Bradley Foundation	http://www.bradleyfdn.org/programs.html	<p>At present, the Foundation aims to encourage projects that focus on cultivating a renewed, healthier, and more vigorous sense of citizenship among the American people and among peoples of other nations, as well.</p> <p>“They will encourage decentralization of power and accountability away from centralized, bureaucratic, national institutions back to the states, localities, and revitalized</p>	Average about \$20-50K	YES	Formal proposal deadlines are the first of March, July, September, December	Letter of Enquiry Invited to submit proposal

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		<p>mediating structures in which citizenship is more fully realized.”</p> <p>Projects may be actual demonstrations of the resuscitation of citizenship in the economic, political, cultural, or social realms; policy research and writing about approaches encouraging that resuscitation; academic research and writing that explore the intellectual roots of citizenship, its decline, and prospects for revival; and popular writing and media projects that illustrate for a broader public audience the themes of citizenship.</p>				
Samuel Rubin Foundation	http://www.samuelrubinfoundation.org/	No specific programs, instead only a mission: “The Foundation's general purpose is to carry on the vision of its founder, Samuel Rubin, whose life was dedicated to the pursuit of peace and justice and the search for an equitable reallocation of the world’s resources. The Foundation believes that these objectives can be achieved only through the fullest implementation of social, economic, political, civil and cultural rights for all the world’s people.”	Most grants are between <u>\$5,000 and \$10,000</u>	No geographic limitations. But grants awarded seem to be USA http://www.samuelrubinfoundation.org/grantees.html	Deadlines: first Friday in January, May, and September. The Board of Directors normally meets three times a year. Applicants are notified of the Foundation’s decision within a week of its meetings, which are generally held at the end of	Brief proposal of no more than 5 pages.

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
					February, June and October of each year	
Kirsch Foundation	http://www.kirschfoundation.org	There is a "Political Reform and Global Theme" programme, which is relevant but seems to be USA focused. http://www.kirschfoundation.org/done/2003.html	Anywhere between \$10K and \$100K			
Gatsby Charitable Foundation (Focus on UK)	www.gatsby.org.uk	One of the largest trusts in the UK – similar to Rockefeller and Ford in that it takes a "long term approach to major issues". Under the heading " Economic and Social Research " it aims "to support institutions and individual studies which can help us to understand and improve our society" In the past it has funded the: <i>Social Market Foundation</i> (152,000); a <i>Constitution Unit</i> at University College London (£64,000); <i>The National Centre for Social Research Social Attitudes Survey</i> ; and a <i>Technical Education Chair</i> at London Business School. Under the heading " General " the University of Wales College of Medicine received a large grant for Healthcare evaluation and education in CFS patients.	£30,000 – £200,000	Need to get on their list	None – need to be approached	The Trustees generally do not make grants in response to unsolicited applications and do not normally make grants to individuals
The Garfield	No web site	One of the few that considers very	<u>Range</u>	YES	NO	Trustees meet monthly..

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Weston Foundation (c£33 million) Focus on UK		large grants. Supports activities in Education - its biggest sector. The largest awards go universities for research centres. The funding guide says “this is the last great “policy free” foundation, Just about everything will be considered on its merits”	<u>£3000 – to £1million</u> <u>Awards 1500 grants per years</u>			Process takes 3 months or so.
Sigrd Rausing Trust. Also known as: Rausing [Ruben and Elisabeth] Trust [The]. £10million International Focus	http://www.sigrid-rausing-trust.org/aboutus.html	To support human rights, self reliance and sustainability, arts and culture There are four separate categories: Human Rights, Supporting Women, Social and Economic Regeneration, and The Environment. The Trust mainly supports groups that work internationally. In addition, a number of grants are given annually to domestic charities in the UK, most of them working to support women. Groups Funded include: * Centre for Public Integrity (Investigative Journalists) * Center for Media & Democracy * Committee to Protect Journalists * Human Rights Watch * International Labour Rights Fund * London School of Economics (Director of the Rausing Centre for the Study of Human Rights)	£110,000	YES	None	Accepts applications from organisations that have been invited to apply for funding.
Nuffield Foundation Focus on UK	http://www.nuffieldfoundation.org	Most of the Foundation's income is spent on grants that support research or practical developments that will, in the words of its Trust Deed,		UK organisations mainly		

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
		<p>"advance social well being".</p> <p>Normally makes grants only to UK organisations, and support work that will be mainly based in the UK, although the Trustees welcome proposals for collaborative projects involving partners in European or Commonwealth countries.</p> <p>This includes a programme on 'Improving social well-being in Southern and Eastern Africa'. This offers grants of £250,000 over 5 years to UK organisations working in partnership with organisations in the country or region concerned.</p>				
<p>Joseph Rowntree Foundation C£6.6 million</p>	<p>http://www.jrf.org.uk/</p>	<p>One of the largest independent funders of <i>social</i> research. There is a new programme "Governance and Public Services" which aims to:</p> <p>"explore key issues of local governance including the most effective ways for citizens and communities, especially those in disadvantaged areas, to engage in the processes of governance for the planning and delivery of key services."</p> <p>There are 2 calls for proposals (deadline 18th February:</p> <ul style="list-style-type: none"> • "Value Added by Governance"(£40,000) – the research questions are set out: http://www.jrf.org.uk/funding/prior 	<p>Two calls for £40,000 each.</p>	<p>YES</p>	<p>18th February 04</p>	<p>Guidelines on web site – submit proposal. In this case there are specific research questions to be addressed.</p> <p>There is a listserve to sign up to be alerted to calls.</p>

Foundation	Web Address	Key Information	Average Grant	Eligibility	Deadlines	App. Process
		<p>ities/governance.asp#value</p> <ul style="list-style-type: none"> • Governance and Social Capital http://www.jrf.org.uk/funding/priorities/governance.asp#social 				
Joseph Rowntrees Charitable Trust £4 million	http://www.jrct.org.uk	<p>This is one of the few trusts with a specific programme on 'Corporate Responsibility'.</p> <p>Other relevant programmes include: Democratic Process – the sub-programme “the importance of local democracy as a counter to centralisation of power” may be of particular interest?</p>	<p>Between <u>£10,00 and 150,000</u> – <u>Average is £30,000.</u></p>	<p>The Trust states that it will not fund <u>Academic research</u> and can only fund work that is legally charitable. Again, primarily UK.</p>		<p>Yes – 4 page description (they give guidance on what they want to know). Can send in any time – trustees meet 4 times a year.</p>